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RFL-1201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): William G. Higinbotham, Paul Palamara,
Robert Walker and Xin Luo

Serial No. : 10/057,799 Conf. No. 9383

Filed : October 26, 2001

For : METHOD AND APPARATUS FOR COMMUNICATING SIGNALS

RESPONSE TO FORMALITIES LETTER, SUBMITTING
DECLARATION, ASSIGNMENT, SUBSTITUTE DRAWINGS,
AND FEES

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This is responsive to the Formalities Letter dated March 18,
2002. A copy thereof is attached.

Transmitted herewith is the executed Declaration for the
above-identified application, along with payment for the
surcharge of \$130 for filing the Declaration later than the
filing date of the application, and the filing fees of \$740.
Please correct the spelling of the name of the inventor William
G. Higinbotham. The name was incorrectly spelled, due to
typographical error, when the Application was filed, but is
correctly spelled on the Declaration filed herewith.

Also attached, for recording, is an Assignment of the

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington,
DC 20231, on 5/15/02

(Date of Deposit)
William G. Higinbotham
Name of applicant, assignee, or registered rep.
William G. Higinbotham May 15, 2002
Signature Date

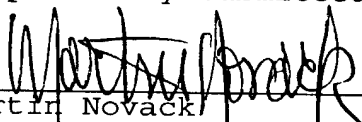
invention to RFL Electronics Inc., along with Cover Sheet Form PTO-1595, and payment of the recording fee of \$40. Please record the Assignment, and return the document to the undersigned Counsel.

Applicant also submits herewith seven sheets of substitute drawings, which conforms to the drawing margin requirements, but which is substantively exactly the same as the original.

A check for \$910 covers the above indicated fees of \$130, \$40, and \$740.

If any further fees are required in conjunction with this submission, please charge them to Deposit Account No. 14-1435.

Respectfully submitted,


Martin Novack
Attorney for Applicant(s)
(Reg. No. 25,164)

Boca Raton, FL 33496
Tel. (561) 912-9203
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May 15, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 202
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,799	10/26/2001	William G. Higginbotham	RFL-1201

Martin Novack
17414 Via Capri East
Boca Raton, FL 33496



CONFIRMATION NO. 938
FORMALITIES LETTER



Date Mailed: 03/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/04/2002 BRERAHA: 00000020 10057799

01 FC:101
02 FC:105

740.00 DP
130.00 DP

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Year	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100																																																		
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